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Title: Polarization-Independent Optical Sampling with Extended Wavelength Range

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POLARIZATION-INDEPENDENT OPTICAL SAMPLING WITH EXTENDED WAVELENGTH RANGE

BACKGROUND OF THE INVENTION

Technical Field of the Invention

This invention generally relates to optical sampling, and more particularly to polarization-independent optical sampling with extended wavelength range.

Description of Related Art

Optoelectronics technology and its applications are expanding with the result that integrated optics technology can be used with considerable advantages in communications. Optical modulators, switches, multiplexers are commonly employed by fabricating them both on single substrates of both dielectrics and semiconductors. For measuring the waveforms of optical pulses used in high bit rate optical communications, it is common practice and desirable to use optical sampling with high sensitivity and high time resolution. Optical sampling systems often use a probe pulse signal and optical mixing with a user signal to achieve what is known as sum frequency generation (SFG) which is very useful for obtaining representations of sampled user signals. Some SFG methods of optical sampling might result in undesirably high background noise. Higher pump powers for optical sampling systems are desirable, but result in a greater need to eliminate background noise, because background noise increases with the square of the probe intensity. Also high powered probe signals are relatively more expensive.

It is to be noted that sum frequency generation (SFG) processes may use a nonlinear crystal such as for example, a periodically poled lithium niobate (PPLN) crystal. The use of a PPLN crystal in optical sampling systems is taught for example in the publication "Highly

Sensitive and Time-Resolving Optical Sampling System Using thin PPLN Crystal” by S.

Nogiwa, et al., Electron Lett, Vol 36, IEE 2000, which is incorporated herein by reference.

PPLN crystals as opposed to other nonlinear crystals, e.g., KTP (potassium titanyl

phosphate), have a large sum frequency generation efficiency under quasi-phase matching

5 conditions. By a judicious selection of the PPLN crystal thickness, , a reduction of the time

resolution of the system to less than 1 ps and an increase of the wavelength sensitivity band

width can be achieved. Experience has shown that the crystal length also partly influences

performance characteristics such as acceptance and efficiency, both of which are inversely

proportional to the crystal length.

Optical probe pulse sources are commercially available with a variety of features and
applications with wavelength capabilities of 1550-1650 nm and some with 1100-1650 nm.

Other wavelength capabilities for commercially available optical probe pulse sources are also
known. Because of the availability of optical amplifiers in the 1550 nm wavelength band,

there are several technologies available for obtaining short optical sampling pulses near 1550

15 nm. Examples of such include gain-switched semiconductor lasers and Erbium-Doped Ring
Lasers.

High-speed sampling of optical signals facilitates reliable oscilloscope measurements

of sampled signals. It has been found that a narrow sampling aperture enables achieving

higher bandwidths than with regular electrical sampling techniques. Commercial optical

20 sampling short-pulse sources near the 1550 nm range may indeed be obtained for this

purpose. However, in most instances the input signals to be sampled also fall in the same

1550 nm wavelength band, which makes it difficult to distinguish the input signals from the

probe or sampling pulse signals, compounding the background noise problem and,

complicating the measurement system design.

In the optical signal sampling technology, it is to be noted that invariably the sampling signal or the probe signal is chosen to be much stronger than the user input signal, so as to obtain efficient conversion. For example, the user input signal could be of a magnitude of 1 milliwatt, whereas the probe or sample pulse may be 1 kilowatt peak.

5 The usual method of performing nonlinear conversion, as known, is by using a crystal that is designed to have a high conversion efficiency. Conversion efficiency of a crystal is influenced not only by the crystal length as discussed supra, but also by how precisely the conversion crystal axis is aligned with the polarization of the sampling pulse and user input signal. The sampling pulse or the probe signal is typically linearly polarized and generally does not pose any alignment issues. The user input signal on the other hand consists of two polarization components, one of which is not aligned with the crystal, resulting in a low output signal because of the polarization dependence.

10 Experimental attempts have been made to address the above described polarization dependence problem by using a manual polarization controller in front of the user input. The use of a manual polarization controller, however, is not practical in a commercial instrument or in a non-experimental situation.

15 In certain polarization diversity receivers on the other hand, the user input signal is split into two polarized components, and the outputs are detected separately. The gain in each component route can be adjusted to compensate for polarization dependent loss (PDL).

20 One method of optical sampling without splitting either the sampling pulse or the user input signal wherein wider spectral acceptance is obtained using a shorter crystal and a three wavelength conversion scheme is described in co-pending U.S. Application Serial No.

_____ entitled "Optical Sampling Using Intermediate Second harmonic Frequency Generation" (PDN 10010305-1, 47429-00018), which is incorporated herein by reference. It

is noted in this context that just as stated in the co-pending U.S. Application, commercially available probe pulse sources usually are in the 1550-1560 nm range whereas user input signals in optical communications are in the 1550 nm range. In the co-pending US application however, efficiency of conversion of the optical input signal for sampling is somewhat sacrificed.

There is a need for a polarization-independent method and apparatus for optical sampling of a user input signal using a probe signal without sacrificing conversion efficiency and without a high degree of alignment problems. The need is especially felt when a user input signal comes from an optical fiber wherein the polarization state of the input signal is not known.

SUMMARY OF THE INVENTION

The present invention offers apparatus and method for optical sampling of an input signal by mixing an unsplit probe pulse signal separately with 'p' and 's' optical signal components, to obtain two output signals which are combined to provide an optical sample. In some known optical sampling methods which use SFG for sampling, only a single signal component ("p" or "s") is harnessed to produce an output signal since a second signal component is not aligned with the crystal used for the SFG process. The present invention uses a method which changes the alignment of the second signal component so that the output signal during sampling can practically be doubled, by combining the signal outputs from using both the 'p' and 's' signal components. Also, by the use of both the 'p' and 's' signal components, it is possible, without sacrificing the output signal strength, to use a probe pulse source which has about half the power which might be needed in the event only one of the two signal components 'p' and 's' were sampled. Lower powered probe pulse sources are

relatively inexpensive, and thus the inventive optical sampling method and apparatus using a lower power probe pulse source are economical.

It is conceivable that optical sampling can be done by splitting a sampling pulse to align separately with two polarization components of the user input signal. The approach of splitting the sampling pulse, however, gives rise to the following two considerations:

(1) The nonlinear conversion efficiency is critically dependent on the total and peak powers in the sampling pulse. Splitting the sampling pulse or probe signal reduces the intensity in half; and

(2) If the sampling pulse is very narrow (perhaps a few hundred femtoseconds long), precisely aligning the lengths of the two parallel polarization conversion paths is difficult. Incorrect alignment will result in polarization dependent delay in the sampling measurement.

Also, an arrangement with split probe signal somewhat decreases conversion efficiency because the peak signal power of the sampling pulse is reduced. Further, splitting the probe signal requires two crystals to be used in parallel for the two sampling signal pulse components. When two crystals are used in parallel, both the crystals must have with the same physical orientation or else alignment and other practical difficulties are created. The present invention in one form obviates the disadvantages of splitting the probe signal.

An embodiment of the invention provides a sampling method for sampling an optical signal that uses a polarization-independent measuring scheme. A simple form of the polarization-independent scheme uses a cascaded nonlinear conversion crystal arrangement using two conversion stages in series for performing sum frequency generation. One of the conversion stages is for the conversion of the 's polarization' component, and the second

conversion stage is for the conversion of the 'p polarization' component, both stages using a common relatively low powered probe pulse signal which is unsplit.

A modified sampling method in accordance with an embodiment of the invention uses a "two-pass" arrangement using a single nonlinear conversion crystal for sum frequency generation twice, using a forward pass for converting one polarized component of the user's input signal, and a reverse pass for converting a second polarized component of the user's input signal. Thus, optical sampling using the combined output of the sum frequency generation in both the above stated arrangements is polarization-independent.

An embodiment described hereinafter consists in a polarization-independent method of optical sampling of an optical input signal of a known fundamental frequency from a user in optical communications, comprising the steps of: using a probe pulse source of a predetermined wavelength range and frequency-doubling signals from the probe pulse source to obtain an intermediate output containing a frequency-doubled second harmonic probe pulse signal; processing the user's optical input signal by using both polarized input signal components 'p' and 's'; the step of processing including: causing a sum frequency generation (SFG)/operation to combine the two polarized input signal components in first and second stages separately with the frequency-doubled second harmonic probe pulse signal; the first stage converting one of the two polarized input signal components by SFG to produce a first component of a near third harmonic signal, diverting the first component of the near-third harmonic signal into an optical measuring element; the second stage converting the other of the two polarized input signal components by SFG to produce a second component of a near-third harmonic signal, the near-third harmonic signal being nearly thrice in frequency in relation to the known fundamental frequency; and directing the second component of the near-third harmonic signal also into the optical measuring element.

1 The need to perform frequency doubling of the probe pulse signal may be avoided if a
2 probe pulse source of a desired frequency is procured for use. As an example, to sample an
3 optical input signal of 1550 nm wavelength, a probe pulse source of approximately 1560 nm
4 may be used and frequency-doubled to obtain a probe pulse source of 780 nm. Alternatively,
5 a probe pulse source of 780 nm *per se* may be used without the need for frequency doubling.

6 In a modification of an embodiment of the invention, a double pass approach may be
7 used by employing a single nonlinear wave converter wherein the SFG operation consists in
8 using a first stage to generate the first component of said near third harmonic signal, and a
9 second stage nonlinear conversion in a reverse pass for converting 'p' polarized input signal
10 component to generate the second component of the near-third harmonic signal.

11 In another embodiment, the SFG operation consists in using periodically poled
12 lithium niobate (PPLN) crystals for the first and second stage nonlinear conversion.

13 The optical measuring element in the invention may comprise a photomultiplier tube
14 or an avalanche diode.

15 As illustrated, an embodiment of the invention includes the step of using an optical
16 filter after frequency-doubling, to arrest any unconverted probe pulse source frequency.

17 Advantageously, after the step of SFG, the method may include the step of using a
18 blocking filter for preventing signal components of frequency other than the near-third
19 harmonic from reaching the optical measuring element.

20 An embodiment of the invention also resides in apparatus for polarization-
independent optical-sampling of an optical input signal, using sum frequency generation on
an unsplit probe signal and two polarized input signal components, the first component being
used to generate a first near-third harmonic signal. The second polarized input signal
component is rotated to be in phase with the first signal component, and used to generate a

second near-third harmonic signal. The two near-third harmonic signals are added to obtain the optical sampling signal and can be obtained in two passes, either using two SFG stages, or a single SFG stage twice. In some earlier methods, only one component of the near-third harmonic was used, either requiring an expensive powerful probe pulse source to maintain the output signal strength, or, if a less powered probe pulse source was used, resulting in an insufficient output signal strength of the sample.

BRIEF DESCRIPTION OF THE DRAWINGS

A more detailed understanding of the invention can be had from the following description of preferred embodiments, given by way of example and to be understood in conjunction with the accompanying drawing wherein:

Figure 1 shows a prior art arrangement where a user input signal is split into two polarized components that are sampled and measured independently;

Figure 2 shows a second prior art arrangement where the sampling pulse as well as a user input signal are split into two components each and passed through a nonlinear wave conversion crystal;

Figure 3 illustrates an arrangement where an embodiment of the present invention can be utilized using polarization independence;

Figure 4 illustrates a preferred nonlinear conversion block that is incorporated in Figure 3;

Figure 5 illustrates another embodiment of the invention using a dual-pass polarization independent approach; and

Figure 6 illustrates the advantage of using a time dispersion compensator plate on the 'p' and 's' signals after a sum frequency generation operation.

DETAILED DESCRIPTION OF PREFERRED EMBODIMENTS

5 Figure 1 shows a prior art arrangement where a user input signal 101 is admitted into a polarization splitter 102 that produces two polarized components 's' and 'p'. The 's' and 'p' components are both shown by the reference numeral 103. The two polarized 'p' and 's' components are detected separately by independent detectors 103 and optionally amplified by using amplifiers AGC amp that are shown at 105.

10 Figure 2 shows a prior art arrangement wherein the sampling pulse, as well as the user input signal, are split into two components. Each of the two components is passed through a nonlinear wave conversion crystal 203. The user input signal is shown at 201 as passing through a polarization splitter, shown at 202. If the sampling pulse 204 has a predetermined polarity, say 's' for example, and if the polarized components for user input signal are both desirably in the 's' category, then sum frequency generation in nonlinear crystal 203 can be performed effectively. The output from the nonlinear crystal 203 is processed at an optical measuring unit, such as for example, a photomultiplier tube PMT illustrated at 205. Even though the foregoing arrangement is functionally able to perform optical sampling with a fair degree of satisfaction, it should be noted that splitting the user input signal and the probe
15
20 signal reduces the efficiency of SFG conversion for converting the user input signal into a near-third harmonic signal. Splitting the probe signal in this prior art arrangement, as stated supra, decreases conversion efficiency, causing undesirable consequences.

Figure 3 illustrates an arrangement wherein an embodiment of the present invention can be utilized using polarization-independence. A user input optical signal is admitted into

the optical sampling apparatus preferably through a user input fiber 301. The user input optical signal becomes incident on an optional collimating lens 315. The sampling signal is preferably obtained from a probe pulse source that advantageously produces a wave signal of 1550 nm wavelength, the probe pulse source being illustrated at 304. The 1550 nm probe pulse source signal is frequency-doubled by passing through doubler 30 to produce a signal that is predominately a second harmonic of the probe pulse signal and that also contains some unconverted 1550 nm component. The frequency-doubled signal is then passed through an optical filter illustrated as F where any unconverted 1550 nm component is arrested, and where the 780 nm component is allowed to pass through to be processed further. The second harmonic signal at 780 nm is mixed with polarized components of the user input signal 301 for sum frequency generation in nonlinear conversion block 303. The details of the nonlinear conversion block 303 can be more easily understood with reference to Figure 4.

Figure 4 illustrates an exemplary nonlinear conversion block that is incorporated into Figure 3. The user input signal that preferably is at 1550 nm and is shown at 401 has polarized components 's' and 'p' that become incident on a optional lens 407 along with a second harmonic probe signal shown at 404. The two polarized components of the user input signal 's' and 'p' emerge from the lens 407 along with the second harmonic probe signal and enter the first nonlinear conversion crystal illustrated at 403. The nonlinear conversion crystal 403 is preferably a periodically poled lithium crystal that is known as a PPLN crystal. Therein, the first polarized user input signal component, namely the 's' component, is converted using a sum frequency generation process along with the second harmonic probe signal to produce a signal that contains predominately a near-third harmonic. The signal that comes out of the PPLN 403 is sent to an optional temporal dispersion compensator 418 and a $\frac{1}{2}$ waveplate 419. The $\frac{1}{2}$ waveplate, illustrated at 419 rotates the nonconverted component of

the user input signal by 90° and the second harmonic by a complete 180° . The signal that comes out of the $\frac{1}{2}$ waveplate 419 is made to pass through a splitter shown at 402 which diverts the near-third harmonic component that was produced at the first sum frequency generation operation performed at the PPLN 403. The first near-third harmonic signal that is
5 diverted by the splitter 402 is reflected expediently by a mirror 410 to become incident on an optical measuring unit, such as a photomultiplier tube illustrated as PMT and shown at reference 405. The component of the user input signal that has been rotated by 90° is mixed further with a component of the second harmonic sampling signal and allowed to pass through an optional lens 411 and made to become incident on a second nonlinear conversion crystal illustrated at 403'. The second nonlinear conversion crystal 403' is also preferably a PPLN that converts the 'p' polarization into a signal that comprises predominately a near-third harmonic contained in a signal that comes out of the PPLN crystal 403'. The signal that comes out of the PPLN crystal 403' is passed through an optional blocking filter. The blocking filter lets through the near-third harmonic signal that passes through an optional lens
15 409 to reach the photomultiplier tube 405. The two components of the user input signal, namely 's' and 'p', are thus both converted by mingling with a second harmonic sampling signal to produce first and second components of a near-third harmonic output which components are measured at the photomultiplier tube 405.

Figure 5 illustrates another embodiment of the present invention using a dual pass or a
20 double pass polarization-independent approach. A single nonlinear conversion element, which preferably is also a PPLN, is used as illustrated at 503. The user input signal 501, at 1550 nm wavelength, and the sampling (second harmonic) signal at 780 nm wavelength shown at 504 are both made to pass through a beam splitter shown at 506. The beam splitter 506 is designed to be transparent to both the user input signal of 1550 nm and the second

harmonic signal at 780 nm. The two signals are made to pass through an optional lens 507 which would serve to bring the two signals to a focus on the nonlinear conversion crystal 503 that preferably is a PPLN of suitable geometry. The nonlinear crystal 503 performs a first sum frequency generation operation producing the first output signal that contains

5 predominately a near-third harmonic together with any unconverted 1550 nm input signal component and unconverted second harmonic signal 504. The output signal from the PPLN 503 is made to pass through a collimating lens 511, and optionally through a time dispersion compensator shown at 512. The signal coming out of the time dispersion compensator 512 passes through a $\frac{1}{4}$ waveplate shown at 513. The $\frac{1}{4}$ waveplate 513 has the property of

10 converting the user signal component to circular polarization and rotating the second harmonic component by 90° . The signal that comes out of the $\frac{1}{4}$ waveplate 513 becomes incident on dichroic mirror 514 which is transparent to the near-third harmonic of the user input signal. The near-third harmonic of the user input signal is around 520 nm in wavelength. The mirror 514 will let through only the near-third harmonic at 520 nm, but will

15 reflect and return the unconverted user optical signal component and the second harmonic back to the $\frac{1}{4}$ waveplate 513. The user signal component is converted back to linear polarization but rotated relative to input polarization by a total of 90° . This converts one polarized component into the other, for example, 'p' to 's'. On the other hand, the second harmonic component undergoes a rotation of an additional 90° , whereby the total rotation that

20 the second harmonic undergoes will be 180° , which produces no effect on the wave. The signals that are reflected by the dichroic mirror 514 and that pass through the $\frac{1}{4}$ waveplate 513 again become incident on the PPLN 503 in a second pass in the reverse direction, again to undergo a sum frequency generation operation.

The output of the second sum frequency generation operation at the PPLN 503 is a further signal that becomes incident through the lens 507 on the beam splitter 506. The further signal that comes out of the PPLN in the second pass contains a second component of the near-third harmonic that gets reflected by the beam splitter 506 to be measured by the photomultiplier tube 505. Optionally, the near-third harmonic signals that become incident on the photomultiplier tube 505 are made to pass through an optical filter that lets through frequencies close to the near-third harmonic range to the exclusion of frequencies that are different from the third harmonic frequencies. By the foregoing arrangement, the user input signal is subjected, using a single unsplit probe pulse signal, to a sum frequency generation operation in a first pass and a second pass, utilizing the two polarized components of the user input optical signal and a single PPLN for nonlinear conversion as shown at 503.

Optionally, the PPLN 503 can be provided with a heater unit (not shown) for heating the crystal 503 selectively in order to avoid photorefractive damage to the PPLN crystal. Alternatively, certain elements, e.g., magnesium, can be used to dope the PPLN material so as to minimize photorefractive damage.

Figure 6 illustrates the advantage of using a time dispersion compensator plate on the two polarized signals 'p' and 's' at their near-third harmonic stage after the sum frequency generation operation. It is important to note that the 'p' and 's' components of the 1550 nm user input signal should be sampled by the second harmonic 780 nm probe signal at the same wavelength location. It is also important to note that a hole is produced in the 1550 nm 'p' polarized signal after conversion to the near-third harmonic in the first pass. The remaining 1550 nm 's' polarized signal and the second harmonic probe signal should both preferably have the same delay before they are converted in the second pass or in the second crystal, as the case may be. When this is accomplished, the 1550 nm 's' polarization and the 'p'

polarization holes will be in the same location. Figure 6 diagrammatically shows the 1550 nm 's' polarization graphs at the first PPLN crystal the first time and then the second graph shows the 1550 nm 'p' polarization. These two polarizations have been illustrated by the reference numbers 615 and 616, respectively. Figure 6 also shows the 1550 nm 's' polarization at the second pass or at the second PPLN crystal vs. time. Also shown is the 1550 nm 'p' polarization without a time dispersion compensator plate. These two graphs are illustrated at 617 and 618, respectively. Figure 6 further shows a situation where a time dispersion compensator plate is provided and consequently the 1550 nm 's' polarization would be coincident with the 1550 nm 'p' polarization because of the influence of the time dispersion compensator plate. Achievement of phase coincidence between 'p' and 's' polarization components is desirable and is used to advantage in both the embodiments of Figures 4 and 5, regardless of whether two PPLNs are used or a single PPLN is used with two passes.

EQUIVALENTS

Although preferred embodiments of the method and apparatus of the present invention have been illustrated in the accompanying drawings and described in the foregoing detailed description, it will be understood that the invention is not limited to the embodiments disclosed, but is capable of numerous rearrangements, modifications, equivalents and substitutions. For example, the probe pulse source, even though shown as 1550 nm could be 1560 nm or any other suitable wavelength, obviating the need for frequency doubling. The $\frac{1}{4}$ waveplate may be replaced by other suitable waveplate which can provide the desired phase rotation based on the initial phase relationship of the probe signal with the optical input signal. The nonlinear conversion element, and the frequency-doubler, that are preferably

PPLNs, can be replaced with any other equivalent crystals that can perform the function.

Nonlinear and SFG crystals may be chosen from the group comprising LiNbO₃, LiTaO₃, KTP, RTP, RTA, G2As, AlGaAs, ZnS, ZnTe and SeTe, and other crystals known for the purpose in the art. The lenses used in the embodiments of Figures 4 and 5 could expediently

5 be provided with antireflection or any other type of known lens-coating, to enhance the efficiency. The optical measuring unit, can be other than a photomultiplier tube or an avalanche diode. Other methods of sensing the first and second output signals or the first and second components of the output signal (near-third harmonic signals) are also conceivable.

It is also within the purview of this invention to analyze the combined first and second output signals by using a microprocessor and in addition to display the output signal on a cathode ray tube. All such equivalents and substitutions are intended to be within the scope of the invention as set forth and defined in the appended claims.